

DENIED PARTY SCREENING

Ensuring that clients are compliant with international trade regulations has always been a priority for APL Logistics, especially regulations that pertain to security and safety. As part of this commitment, we provide vigilant and timely Denied Party Screening (DPS) for every export shipment we facilitate.

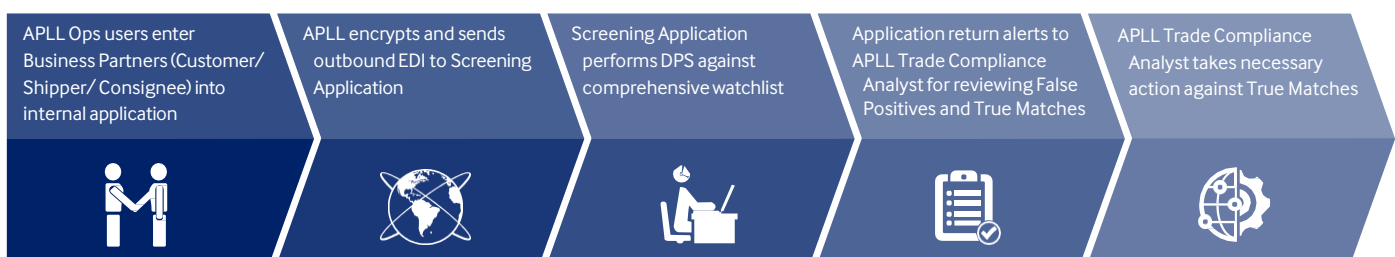
SOLUTION HIGHLIGHTS

In today's volatile world, no international shipper can afford to ignore prohibitions against working or trading with restricted or denied parties because even a single noncompliant shipment could result in six-figure fines and expose many people to unnecessary risk.

This is why solid Denied Party Screening practices are so essential – and why APL Logistics is committed to administering such practices throughout its global network. We are pleased to operate a robust and consolidated DPS process that helps our clients ensure strict compliance with the latest related rules, regulations and guidelines.

Integrated with one of the compliance industry's most advanced systems platforms and backed by APL Logistics' many years of compliance experience, our DPS process involves:

- Regularly scheduled extraction and encryption of customer, consignee and shipper files.
- Software-enabled screening of each file against a comprehensive collection of Denied Party databases and global sanction watch lists.
- Creating and sending alerts for all files that include possible Denied Party or restricted matches.
- Review of each alert by an experienced APL Logistics Trade Compliance Analyst.
- Rapid but accurate differentiation between False Positives and True Matches.
- A clearly defined escalation process with further review of flagged files as needed by thoroughly briefed key stakeholders, including members of APL Logistics' senior management team.
- Frequent, dynamic updates to these Denied Party databases and watch lists to ensure the latest sanctions and policies are captured before any newly restricted goods leave port.



BENEFITS TO YOUR BUSINESS

Our company takes pride in providing this systems-driven, integrated approach to Denied Party Screening to our clients because we strongly believe that finding ways to achieve better international trade compliance isn't an option, it's a responsibility.

Just as important, we are proud of the additional efficiencies and extra protection it helps deliver to companies like yours.

- **Mitigated risk.** Avoid the financial penalties and negative impacts associated with incomplete or outdated DPS processes; the databases our process searches are both extensive and perpetually updated.
- **Greater peace of mind.** Enjoy an additional level of compliance-related protection even if you or your trading partners already have DPS processes in place.
- **Streamlined reviews.** Reduce the long hours associated with manually checking files against various watch lists.
- **Enhanced decision-making.** Minimize the hard work associated with constantly processing the same False Positives; our in-house expertise includes quick, expert review of every flagged file, and our process's intuitive system will automatically remember and refrain from repeatedly flagging any entities you add to the accepted list.
- **More responsible global citizenship.** Rest confident in the knowledge that each time you work with APL Logistics, you are working with a company that works diligently to strictly adhere to all International Trade and Compliance rules as they evolve and to helping our clients do the same.

ABOUT APL LOGISTICS

Denied Party Screening is just one of numerous complimentary programs/best practices offered by APL Logistics, a company with a long and distinguished history of excellence in areas such as safety, security, regulatory compliance and operational excellence.

APL Logistics is a leading global supply chain logistics provider focused on order management. With over four decades of experience, we offer innovative, end-to-end supply chain solutions across various industries. With a strategic balance of our world-class account management teams, proprietary digital solutions, and an extensive network, APL Logistics' Order Management will enable your business to stand out, adapt faster, and execute more efficiently than your competitors.

The APL Logistics group of companies has a global network covering all major markets and is backed by a multinational workforce. APL Logistics Ltd is a member of the Kintetsu World Express group, a global logistics services provider.



COMPREHENSIVE COMPLIANCE SUPPORT

APL Logistics DPS process systematically checks files against dozens of the world's most rigorous compliance databases and watchlists including:

- European Union Consolidated List
- HM Treasury Sanctions Watchlist
- Office Of Foreign Assets Control Non-SDN Entities
- Office Of Foreign Assets Control Sanctions
- Office Of Foreign Assets Control Specially Designated Nationals
- UK HM Treasury List
- United Nations Consolidated List
- Various other lists that include entities or individuals of concern, including:

The FBI's Most Wanted, Interpol's Most Wanted, Primary Money Laundering Concern (PMLC) and the Bureau Of Industry And Security